

## Frequently Asked Questions (FAQs)

### 1. What is unlisted Specific Investment Product (SIP)?

Specified Investment Products are products that have structures, features and risks that may be more complex in nature. Unlisted Specific Investment Products are products that are not listed on a Stock Exchange which include but not limited to:

- Unit Trust and Mutual Funds
- Investment-linked Products (ILPs)

The Customer Knowledge Assessment (CKA) enables us to assess whether you have the relevant knowledge or experience to understand the risks and features of an unlisted SIP.

### 2. Do I need to do the assessment again if I have already done it with another broker?

Yes, as long as you wish to trade unlisted SIPs, the assessment applies.

### 3. I would like to transfer my portfolio to another fund house. How can I do that?

Yes, please request for a portfolio transfer form from the fund house you would like to transfer the funds to and the fund house will work with us to ensure your portfolio gets transferred.

### 4. How do I do CKA on Avivadirect?

