

IMPORTANT NOTICE TO CLIENT 重要声明

NOTA PENTING UNTUK PELANGGAN வாடிக்கையாளர்களுக்கு முக்கிய அறிவிப்பு

Please note that should you choose to proceed with the investment after being advised that the product/s is/are not suitable for you, you will not be able to rely on section 27 of Financial Advisers Act (FAA) to file a civil claim should you suffer a loss in the future.

根据以上的“产品适合度”评估结果，您想要投资的产品并不适合您。倘若往后您在该投资蒙受亏损，您将丧失根据财务顾问法第27节来采取民事索赔的权利。

Perhatian jika anda terus memilih untuk melabur degan pelaburan tersebut, anda tidak akan dapat bagantung kepada seksyen Undang-Undang penasihat kewangan (FAA) untuk mengemukakan tuntutan undang sivil jika anda mengalami kerugian di masa hadapan.

உற்பத்திப் பொருள்/பொருட்கள் உங்களுக்குப் பொருத்தமானது அல்ல என்று அறிவுறுத்தியப் பிறகும் நீங்கள் முதலீடு செய்ய முடிவு செய்து, எதிர்காலத்தில் ஒரு வேளை உங்களுக்கு நஷ்டம் ஏதும் ஏற்பட்டால், உங்களால் நஷ்ட ஈடு கோர நிதி ஆலோசகர்கள் சட்டம் (டிஐஏ) பிரிவு 27-ஐ சார்ந்திருக்க முடியாது என்பதைத் தயவுசெய்து தெரிந்து கொள்ளுங்கள்.

SECTION 27, FINANCIAL ADVISERS ACT – EXTRACT

Recommendations by licensed financial advisers

27. —(1) No licensed financial adviser shall make a recommendation with respect to any investment product to a person who may reasonably be expected to rely on the recommendation if the licensee does not have a reasonable basis for making the recommendation to the person.

(2) For the purposes of subsection (1), a licensed financial adviser does not have a reasonable basis for making a recommendation to a person unless —

(a) he has, for the purposes of ascertaining that the recommendation is appropriate, having regard to the information possessed by him concerning the investment objectives, financial situation and particular needs of the person, given such consideration to, and conducted such investigation of, the subject-matter of the recommendation as is reasonable in all the circumstances; and

(b) the recommendation is based on the consideration and investigation referred to in paragraph (a).

(3) Where —

(a) a licensee, in making a recommendation to a person, contravenes subsection (1);

(b) the person, in reliance on the recommendation, does a particular act, or refrains from doing a particular act;

(c) it is reasonable, having regard to the recommendation and all other relevant circumstances, for the person to do that act, or to refrain from doing that act, as the case may be, in reliance on the recommendation; and

(d) the person suffers loss or damage as a result of doing that act, or refraining from doing that act, as the case may be, then, without prejudice to any other remedy available to that person, the licensed financial adviser is liable to pay damages to that person in respect of that loss or damage.

(4) In this section, a reference to the making of a recommendation is a reference to the making of a recommendation expressly or by implication.

(5) This section shall not apply to any licensed financial adviser or class of licensed financial advisers in such circumstances or under such conditions as may be prescribed.

[2/2005]

[SF Bill, Clause 121]